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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/680,602

Filing Date

October 6, 2003

First Named Inventor

Olin Palmer et al.

Art Unit

3734

Examiner Name

Kevin Thao Truong

Attorney Docket Number

ACS 65628 (0080USD4)

**ENCLOSURES (Check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/  
Incomplete Application☐ Reply to Missing Parts under  
37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ Landscape Table on CD☐ After Allowance Communication  
to TC☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please  
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Firm Name

FULWIDER PATTON LLP

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Printed name

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Date

July 3, 2007

Reg. No.

31,1119

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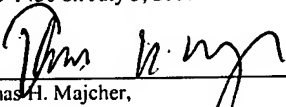
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Thomas H. Majcher,

Reg. No. 31,119

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No.	: 10/680,602	Confirmation No. 5764
Applicant	: Olin Palmer et al.	
Filed	: October 6, 2003	
Title	: INTRAVASCULAR DEVICE	
Art Unit	: 3734	
Examiner	: Truong, Kevin Thao	
Docket No.:	: ACS-65628 (0080USD4)	Los Angeles, California
Customer No.	: 24201	July 3, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to the duty of disclosure and 37 CFR § 1.97(c)(2), enclosed is Form PTO 1449, listing references which may be material to the patentability of the invention. The Examiner is respectfully requested to consider and cite the references. It is additionally requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO 1449 with initials and/or other appropriate marks.

07/09/2007 EAYALEW1 00000002 10680602

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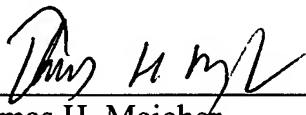
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This statement is not a representation that all of the information cited is necessarily effective as prior art against the present application or that a prior art search was performed.

This Information Disclosure Statement is being submitted pursuant to 37 CFR 1.97(c) and the requisite fee of \$180.00 is enclosed. If any additional fees are due, the Commissioner is authorized to charge any these fee(s) to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

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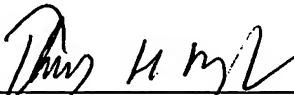
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Substitute for form 1449A/PTO				Complete if Known		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	10/680,602	
				Filing Date	October 6, 2003	
				First Named Inventor	Olin Palmer	
				Art Unit	3734	
				Examiner Name	Kevin Thao Truong	
Sheet		of		Attorney Docket Number	ACS 65628 (0080USD4)	
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1.	RE38,972		02-07-2006	Purdy	
	2.	3,952,747		04-27-1976	Kimmell, Jr.	
	3.	4,452,908		01-17-1984	Simon	
	4.	4,494,531		01-22-1985	Gianturco	
	5.	4,612,931		09-23-1986	Dormia	
	6.	4,619,246		10-28-1986	Molgaard-Nielsen et al.	
	7.	4,643,184		02-17-1987	Mobin-Uddin	
	8.	4,650,466		03-17-1987	Luther	
	9.	4,662,885		05-05-1987	DiPisa, Jr.	
	10.	4,688,553		08-25-1987	Metals	
	11.	4,706,671		11-17-1987	Weinrib	
	12.	4,723,549		02-09-1988	Wholey et al.	
	13.	4,727,873		03-01-1998	Mobin-Uddin	
	14.	4,781,177		11-01-1988	Lebigot	
	15.	4,790,812		12-13-1988	Hawkins, Jr. et al.	
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	17.	4,794,928		01-03-1989	Kletschka	
	18.	4,832,055		05-23-1989	Palestrant	
	19.	4,873,978		10-17-1989	Ginsburg	
	20.	4,921,478		05-01-1990	Solano et al.	
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	28.	5,071,407		12-10-1991	Termin et al.	
	29.	5,092,839		03-03-1992	Kipperman	
	30.	5,100,423		03-31-1992	Fearnot	
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	32.	5,102,415		04-07-1992	Guenther et al.	
	33.	5,108,419		04-28-1992	Reger et al.	
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	37.	5,192,286		03-09-1993	Phan et al.	
	38.	5,324,304		06-28-1994	Rasmussen	
	39.	5,329,942		07-19-1994	Gunther et al.	
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	41.	5,350,398		09-27-1994	Pavcnik et al.	
	42.	5,370,657		12-06-1994	Irie	
	43.	5,375,612		12-27-1994	Cottenceau et al	
	44.	5,383,887		01-24-1995	Nadal	

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				First Named Inventor	Olin Palmer	
				Art Unit	3734	
				Examiner Name	Kevin Thao Truong	
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<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	45.	5,421,832		06-06-1995	Lefebvre	
	46.	5,490,859		02-13-1996	Mische et al.	
	47.	5,496,277		03-05-1996	Termin et al.	
	48.	5,496,330		03-05-1996	Bates et al.	
	49.	5,501,694		03-26-1996	Ressemann et al.	
	50.	5,549,626		08-27-1996	Miller et al.	
	51.	5,601,595		02-11-1997	Smith	
	52.	5,626,605		05-06-1997	Irie et al.	
	53.	5,634,942		06 03 1997	Chevillon et al.	
	54.	5,649,953		07-22-1997	Lefebvre	
	55.	5,658,296		08-19-1997	Bates et al.	
	56.	5,662,671		09-02-1997	Barbut et al.	
	57.	5,669,933		09-23-1997	Simon et al.	
	58.	5,681,347		10-28-1997	Cathcart et al.	
	59.	5,695,518		12-09-1997	Laerum	
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	61.	5,720,764		02-24-1998	Naderlinger	
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	63.	5,746,767		05-05-1998	Smith	
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	65.	5,769,816		06-23-1998	Barbut et al	
	66.	5,772,674		06-30-1998	Nakhjavan	
	67.	5,776,162		07-07-1998	Kleshinski	
	68.	5,779,716		07-14-1998	Cano et al.	
	69.	5,792,145		08-11-1998	Bates et al.	
	70.	5,792,156		08-11-1998	Perouse	
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	73.	5,800,457		09-01-1998	Gelbfish	
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	75.	5,810,874		09-22-1998	Lefebvre	
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	77.	5,827,324		10 27 1998	Cassell et al.	
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	80.	5,846,251		12-08-1998	Hart	
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	82.	5,848,964		12-15-1998	Samuels	
	83.	5,868,708		02-09-1999	Hart et al.	
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	85.	5,897,567		04-27-1999	Ressemann et al.	
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	88.	5,935,139		08-10-1999	Bates	
	89.	5,941,869		08-24-1999	Patterson et al.	
	90.	5,941,896		08-24-1999	Kerr	

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number 10/680,602 Filing Date October 6, 2003 First Named Inventor Olin Palmer Art Unit 3734 Examiner Name Kevin Thao Truong Attorney Docket Number ACS 65628 (0080USD4)						
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U.S. PATENT DOCUMENTS						
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		Number	Kind Code <sup>2</sup> (if known)			
	91.	5,944,728		08-31-1999	Bates	
	92.	5,954,745		09-21-1999	Gertler et al.	
	93.	5,968,071		10-19-1999	Chevillon et al.	
	94.	5,976,172		11-02-1999	Homsma et al.	
	95.	5,980,555		11-09-1999	Barbut et al.	
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	99.	6,013,093		01-11-2000	Nott et al.	
	100.	6,022,336		02-08-2000	Zadno-Azizi et al	
	101.	6,027,520		02-22-2000	Tsugita et al.	
	102.	6,042,598		03-28-2000	Tsugita et al.	
	103.	6,051,015		04-18-2000	Maahs	
	104.	6,053,932		04-25-2000	Daniel et al.	
	105.	6,059,814		05-09-2000	Ladd	
	106.	6,066,158		05-23-2000	Engelson et al	
	107.	6,074,357		06-13-2000	Kaganov et al.	
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	122.	6,168,579		01-02-2001	Tsugita et al	
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	127.	6,176,849		01-23-2001	Yang et al	
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>		
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				Art Unit	3734	
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		Number	Kind Code <sup>2</sup> (if known)			
	137.	6,235,044		05-22-2001	Root et al.	
	138.	6,235,045		05-22-2001	Barbut et al.	
	139.	6,238,412		05-29-2001	Dubrul et al.	
	140.	6,241,746		06-05-2001	Bosma et al.	
	141.	6,245,012		06-12-2001	Kleshinski	
	142.	6,245,087		06-12-2001	Addis	
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	172.	6,361,545		03-26-2002	Macoviak et al.	
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	176.	6,371,969		04-16-2002	Tsguita et al.	
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number 10/680,602 Filing Date October 6, 2003 First Named Inventor Olin Palmer Art Unit 3734 Examiner Name Kevin Thao Truong						
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		Number	Kind Code <sup>2</sup> (if known)			
	183.	6,394,978		05-28-2002	Boyle et al.	
	184.	6,395,014		05-28-2002	Macoviak et al.	
	185.	6,398,756		06-04-2002	Peterson et al.	
	186.	6,402,771		06-11-2002	Palmer et al.	
	187.	6,406,471		06-18-2002	Jang et al.	
	188.	6,423,032		07-23-2002	Parodi	
	189.	6,423,086		07-23-2002	Barbut et al.	
	190.	6,425,909		07-30-2002	Dieck et al.	
	191.	6,428,559		08-06-2002	Johnson	
	192.	6,432,122		08-13-2002	Gilson et al.	
	193.	6,436,121		08-20-2002	Blom	
	194.	6,443,926		09-03-2002	Kletschka	
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	200.	6,450,989		09-17-2002	Dubrul et al.	
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				Filing Date	October 6, 2003	
				First Named Inventor	Olin Palmer	
				Art Unit	3734	
Examiner Name	Kevin Thao Truong					
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		PCT	WO99/23976		05-20-1999	Salviac Limited		
Examiner Signature					Date Considered			

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/680,602
		Filing Date	October 6, 2003
		First Named Inventor	Olin Palmer
		Group Art Unit	3734
		Examiner Name	Kevin Thao Truong
		Attorney Docket Number	ACS 65628 (0080USD4)
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<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Dilatation of the Carotid Artery By A Temporary Carotid Filter By A. Beck, St. Milic, A.M. Spagnoli, November-December Issue of OPLITAL, An International Journal on Military Medicine and Health Emergencies, pages 67-74	
		Minibasket for Percutaneous Embolectomy and Filter Protection Against Distal Embolization: Technical Note	
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